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NOTICE OF ALLOWANCE AND FEE(S) DUE

58404

7590

05/07/2009

BARRY W. CHAPIN CHAPIN INTELLECTUAL PROPERTY LAW, LLC WESTBOROUGH OFFICE PARK 1700 WEST PARK DRIVE, SUITE 280 WESTBOROUGH, MA 01581

EXAMINER				
SHIN, KYUNG H				
ART UNIT	PAPER NUMBER			

2443 DATE MAILED: 05/07/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/750,334	12/31/2003	Stanislav Sosnovsky	EMC03-35(03166)	4693

TITLE OF INVENTION: METHODS AND APPARATUS FOR PROVIDING EXTENSIBLE LIGHTWEIGHT SERVICES IN A DATA STORAGE ENVIRONMENT

APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$0 \$0 \$1510 08/07/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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INSTRUCTIONS; This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ap in m

appropriate. All further indicated unless correct maintenance fee notifica	correspondence includir ed below or directed oth	ng the Patent, advance on the nerwise in Block 1, by (a	rders and notification of n a) specifying a new corres	naintenance fees wi pondence address;	ill be mailed to the currer and/or (b) indicating a se	nt correspondence address as parate "FEE ADDRESS" for
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WESTBOROUG	RK DRIVE, SUITE SH. MA 01581	280				(Depositor's name)
WESTBOROOK	311, 1411 01301					(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/750,334	12/31/2003	•	Stanislav Sosnovsky	•	EMC03-35(03166)	4693
TITLE OF INVENTION ENVIRONMENT	N: METHODS AND A	APPARATUS FOR PRO	VIDING EXTENSIBLE I			STORAGE
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DU	E DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	08/07/2009
EXAM	IINER	ART UNIT	CLASS-SUBCLASS			
SHIN, K	YUNG H	2443	709-223000			
 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 		2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
PLEASE NOTE: Un recordation as set fort (A) NAME OF ASSI	less an assignee is ident h in 37 CFR 3.11. Comp GNEE	ified below, no assignee oletion of this form is NO	T a substitute for filing an : (B) RESIDENCE: (CITY	atent. If an assigne assignment. and STATE OR Co	OUNTRY)	document has been filed for
Please check the appropr	iate assignee category or	categories (will not be pr	rinted on the patent):	Individual	rporation or other private g	group entity 🗖 Government
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interest as shown by the	records of the United Sta	tes Patent and Trademark	Office.	ne appreant, a regio	nered autorney of agent, of	
Authorized Signature				Date		
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an application. Confiden submitting the complete this form and/or suggest	tiality is governed by 35 d application form to the ions for reducing this but irginia 22313-1450. DC	U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th	1.14. This collection is est depending upon the indiverse Chief Information Office	imated to take 12 m idual case. Any cor cr. U.S. Patent and 1	ninutes to complete, includ mments on the amount of the Frademark Office, U.S. De	nd by the USPTO to process) ling gathering, preparing, and time you require to complete epartment of Commerce, P.O. or for Patents, P.O. Box 1450,

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58404 75	590 05/07/2009		EXAM	INER	
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CHAPIN INTELLECTUAL PROPERTY LAW, LLC WESTBOROUGH OFFICE PARK 1700 WEST PARK DRIVE, SUITE 280 WESTBOROUGH, MA 01581		ART UNIT	PAPER NUMBER		
			2443		
			DATE MAILED: 05/07/2009		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1340 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1340 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)	
	10/750,334	SOSNOVSKY ET AL.	
Notice of Allowability	Examiner	Art Unit	
	Kyung Hye Shin	2443	
The MAILING DATE of this communication ap All claims being allowable, PROSECUTION ON THE MERITS herewith (or previously mailed), a Notice of Allowance (PTOL-8 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT of the Office or upon petition by the applicant. See 37 CFR 1.3	IS (OR REMAINS) CLOSED 85) or other appropriate comm RIGHTS. This application is	n this application. If not included nunication will be mailed in due course. T	
1. This communication is responsive to <u>1-30-09</u> .			
2. X The allowed claim(s) is/are <u>1 - 9, 11 - 17, 21 - 32, 34, 36</u>	<u>3 - 40 .</u>		
3.	ave been received. ave been received in Application documents have been received. E" of this communication to fill NMENT of this application. committed. Note the attached Expires reason(s) why the oath const be submitted. erson's Patent Drawing Revieur.	on No ed in this national stage application from the a reply complying with the requirement AMINER'S AMENDMENT or NOTICE Cordeclaration is deficient. w (PTO-948) attached	ts
Paper No./Mail Date Identifying indicia such as the application number (see 37 CFF each sheet. Replacement sheet(s) should be labeled as such i	R 1.84(c)) should be written on	the drawings in the front (not the back) of	
 DEPOSIT OF and/or INFORMATION about the department of the department o			
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposition of Biological Material	6. ☐ Interview 5 Paper No 7. ☑ Examiner's	nformal Patent Application Summary (PTO-413), ./Mail Date s Amendment/Comment s Statement of Reasons for Allowance	
/J Bret Dennison/			
Primary Examiner, Art Unit 2443			

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DETAILED ACTION

1. Claims 1 - 9, 11 - 17, 21 - 32, 34, 36 - 40 are pending.

EXAMINER'S AMENDMENT

2. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Christopher J. Lutz, Esq. (Registration No.: 44,883) on 4/20/2009.

3. The application has been amended as follows:

Amended independent claims are 1, 15, 21, 34, 36, 40

1. (Currently Amended) A method for interprocess communication in a managed information architecture comprising:

identifying a module server stored in memory;

receiving a registration from a service entity in the memory in the managed information architecture, the registration indicative of a significant occurrence in the managed information architecture and the service entity responsive to the significant occurrence;

establishing a persistent association of the service entity and the significant occurrence in response to the registration, the persistent association providing a registered service entity, further including storing, in a global association table, an indication of the significant occurrence and an indication of the module containing the service entity, the global association table persistently independent of

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enablement of the module including the service entity corresponding to the significant occurrence;

receiving a notification <u>message</u> indicative of the significant occurrence in the managed information architecture;

identifying, via the persistent association, the corresponding registered service entity responsive to the significant occurrence, the persistent association independent of enabling and disabling of the service entity;

enabling, if the identified registered service entity is disabled, a module including the service entity to activate the service entity; and

invoking, via the persistent association, the service entity responsive to the significant occurrence, the persistent association being defined by a set of tables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity.

15. (Original) A method for invocation of subscribers comprising:

receiving a subscription associative of a service entity and a significant occurrence, the service entity having instructions operative for executing and completing a particular task upon an indication of the significant occurrence;

associating the significant occurrence with the service entity, the association including a generic reference applicable to a plurality of service entities, the association further operable to selectively enable a module including the service entity upon the significant occurrence, the association further being a persistent association independent of enabling and disabling of the service entity; storing, in a global association table, an indication of the significant occurrence and an indication of the module containing the service entity, the global association table

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persistently independent of enablement of the module including the service entity corresponding to the significant occurrence;

receiving the indication of the significant occurrence;

determining, via the association, the corresponding service entity and the module including the service entity;

selectively enabling the module including the service entity to activate the service entity; and

dispatching the service entity to execute and complete the time based task, the persistent association being defined by a set of tables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity.

21. (Original) A services architecture for interprocess communication in a managed information system comprising:

a module server <u>stored in memory</u> operable to receive a registration from a service entity in the managed information system, the registration indicative of a significant occurrence in the managed information system and the service entity responsive to the significant occurrence;

a service provider in the module server operable to establish a persistent association of the service entity and the significant occurrence in response to the registration, the persistent association independent of the enablement of the service entity, the persistent association providing a registered service entity for the service provided by the service entity, the persistent association independent of enabling and disabling of the service entity;

an association mapping for storing the persistent association and adapted to map a received notification indicative of the significant occurrence in the managed information system, the service operable to identify, via the persistent association, the association mapping including a global association table, having an indication of the significant occurrence and an indication of the module containing the service entity, the global association table persistently independent of enablement of the module including the service entity corresponding to the significant occurrence; and

an activation manager operable to enable, if the identified registered service entity is disabled, a module including the service entity to activate the service entity, the module server operable to invoke, via the persistent association, the service entity responsive to the significant occurrence, the persistent association being defined by a set of tables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity.

34. (Currently Amended) A computer program product having a computer readable storage medium operable to store computer program logic embodied in computer program code encoded thereon that, when executed by a processor responsive to instructions in the computer program code case the computer to perform a method for interprocess communication in a managed information architecture, the method comprising:

receiving a registration from a service entity in the managed information architecture, the registration indicative of a significant occurrence in the managed information architecture and the service entity responsive to the significant occurrence;

establishing a persistent association of the service entity and the significant occurrence in response to the registration, the persistent association independent of the <u>enabling</u> and <u>disabling</u> of the service entity, the persistent association providing a registered service entity, further including storing, in a global association table, an

indication of the significant occurrence and an indication of the module containing the service entity, the global association table persistently independent of enablement of the module including the service entity corresponding to the significant occurrence;

receiving a notification indicative of the significant occurrence in the managed information architecture;

identifying, via the persistent association, the corresponding registered service entity responsive to the significant occurrence;

enabling, if the identified registered service entity is disabled, a module including the service entity to activate the service entity; and

invoking, via the persistent association, the service entity responsive to the significant occurrence, the persistent association being defined by a set of tables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity.

36. (Currently Amended) A services architecture for interprocess communication in a managed information <u>architecture</u> comprising:

a module server stored in memory;

means for receiving a registration from a service entity in the managed information architecture, the registration indicative of a significant occurrence in the managed information architecture and the service entity responsive to the significant occurrence;

means for establishing a persistent association of the service entity and the significant occurrence in response to the registration, the persistent association independent of the enablement of the service entity and independent of enabling

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and disabling of the service entity, the persistent association providing a registered service entity, further including means for storing, in a global association table, an indication of the significant occurrence and an indication of the module containing the service entity, the global association table persistently independent of enablement of a module including the service entity corresponding to the significant occurrence;

means for receiving a notification indicative of the significant occurrence in the managed information architecture;

means for identifying, via the persistent association, the corresponding registered service entity responsive to the significant occurrence;

means for enabling, if the identified registered service entity is disabled, a module including the service entity to activate the service entity; and

means for invoking, via the persistent association, the service entity responsive to the significant occurrence, the persistent association being defined by a set of tables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity.

40. (New) A method for interprocess communication in a managed information architecture comprising:

receiving a registration from a service entity in the managed information architecture, the registration indicative of a significant occurrence in the managed information architecture and the service entity responsive to the significant occurrence;

establishing a persistent association of the service entity and the significant occurrence in response to the registration, the persistent association independent of the enabling and disabling of the service entity, the persistent association providing a registered service entity;

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receiving a notification message indicative of the significant occurrence in the managed information architecture;

identifying, via the persistent association, the corresponding registered service entity responsive to the significant occurrence;

enabling, if the identified registered service entity is disabled, a module in the module server including the service entity, wherein the service entities are handlers corresponding to executable methods and the indication messages are events propagated by an invocation mechanism as a result of the significant occurrence service entity; and

invoking, via the persistent association, the service entity responsive to the significant occurrence, invoking further comprising:

identifying associated data indicative of the significant occurrence; assembling an invocation call, the invocation call including a reference to the service entity and a reference to the identified associated data; and executing the referenced service entity in the context of the referenced associated data to activate the service entity, executing further comprising a dispatch command, the dispatch command specific to the handler responsive to the significant occurrence and operative to enqueue multiple invocations to the same service entity, the persistent association being defined by a set of tables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity.

Allowable Subject Matter

4. The following is an examiner's statement of reasons for allowance:

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Claims 1, 15, 21, 34, 36, 40 are allowed based on the following:

Prior art of record considered individually or in combination, fails to fairly show or suggest further including storing, in a global association table, an indication of the significant occurrence of an indication of the module containing the service entity, the global association table persistently independent of enablement of the mode including the service entity corresponding to the significant occurrence;

and specifically that:

- disabling the module including the service entity; and selectively enabling, in response to the significant occurrence, the module including the service entity, wherein the persistent association is independent of enabling and disabling of the service entity;
- the received registration employs a genericizing reference for identifying the service entity, the genericizing reference operable to avoid extraneous references and further operable for registration of a plurality of service entities, each of the service entities independent of references of other of the plurality of service entities;
- the persistent association is defined by a set of tables including the association, the set of tables for traversing the published significant occurrence from the detecting class entity to the service entity to be invoked as a result of the significant occurrence, at least one of the set of tables being a persistent table, the persistent table remaining active beyond the activation of the service entity;

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and in addition to the other limitations in the specific manner as recited in claims 1 - 9, 11 - 17, 21 - 32, 34, 36 - 40.

Claims 2 - 9, 11 - 14 are allowed due to allowed base claim 1.

Claims 16, 17 are allowed due to allowed base claim 15.

Claims 22 - 32 are allowed due to allowed base claim 21.

Claims 37 - 39 are allowed due to allowed base claim 36.

So as indicated by the above statements, Applicant's arguments have been considered persuasive, in light of the set of claims with limitations as well as the enabling portions of the specification. The dependent claims further limit the independent claims and are considered allowable on the same basis as the independent claims as well as for the further limitations set forth.

5. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kyung Hye Shin whose telephone number is (571)272-3920. The examiner can normally be reached on 9:30 am - 6 pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tonia L. Dollinger can be reached on (571) 272-4170. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Kyung Hye Shin Examiner Art Unit 2443

KHS 4/21/09

/J Bret Dennison/

Primary Examiner, Art Unit 2443